

UNIQUE POSITIVE SOLUTION OF SEMILINEAR ELLIPTIC EQUATIONS INVOLVING CONCAVE AND CONVEX NONLINEARITIES IN \mathbb{R}^N

SOMAYEH KHADEMLOO* AND RAHELEH MOHSENI

Department of Basic Sciences, Babol Noushervany University of Technology; Babol, Iran

ABSTRACT. In this article, we investigate the effect of the coefficient $a(z)$ on the existence of positive solution of the subcritical semilinear elliptic problem. We prove for sufficiently large $\lambda, \mu > 0$, there exists at least one positive solution for the problem

$$-\Delta v + \mu b(z)v = a(z)v^{p-1} + \lambda h(z)v^{q-1}$$

where $v \in H^1(\mathbb{R}^N)$, $1 \leq q < 2 < p < 2^* = \frac{2N}{N-2}$ for $N \geq 3$.

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1. INTRODUCTION

For $N \geq 3$, $1 \leq q < 2 < p < 2^* = \frac{2N}{N-2}$, we consider the semilinear elliptic equation

$$\begin{cases} -\Delta v + \mu b(z)v = a(z)v^{p-1} + \lambda h(z)v^{q-1} & \text{in } \mathbb{R}^N; \\ v \in H^1(\mathbb{R}^N), \end{cases} \quad (\mathbf{E}_{\lambda, \mu})$$

where $\lambda, \mu > 0$ and

(a₁) a is a positive continuous function in \mathbb{R}^N and $\lim_{|z| \rightarrow \infty} a(z) = a_\infty > 0$,

(a₂) there exists a point a_1 in \mathbb{R}^N such that $a(a_1) = a_{max} = \max_{z \in \mathbb{R}^N} a(z)$, $a_\infty < a_{max}$,

(h₁) $h \in L^{\frac{p}{p-q}}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ and $h \not\equiv 0$.

Let $\|u\|_H^2 = \int_{\mathbb{R}^N} (|\nabla u|^2 + |u|^2) dz$ is the norm in $H^1(\mathbb{R}^N)$ and $u_+ = \max\{u, 0\} \geq 0$.

Semilinear elliptic problems involving concave - convex nonlinearities in \mathbb{R}^N

$$\begin{cases} -\Delta u + u = a(z)u^{p-1} + \lambda h(z)u^{q-1} & \text{in } \mathbb{R}^N; \\ u \in H^1(\mathbb{R}^N), \end{cases}$$

have been studied by Huei-li Lin [4] ($b(z) = 1, \mu = 1$ and for $N \geq 3, 1 \leq q < 2 < p < 2^* = \frac{2N}{(N-2)}$) and Ambrosetti [1] ($a \equiv 1$ and $1 < q < 2 < p \leq 2^* = \frac{2N}{(N-2)}$). They proved that this equation has at least two positive solutions for small enough $\lambda > 0$. In [3], existence of at least four positive solutions for the general case $-\Delta v + v = a(z)v^{p-1} + \lambda h(z)v^{q-1}$ in \mathbb{R}^N , for small enough $\lambda > 0$ has been investigated.

In this paper, we study the existence of at least positive solution for equation $(E_{\lambda, \mu})$ in \mathbb{R}^N .

In the special case where $\lambda = \varepsilon^2, \mu = \frac{1}{\varepsilon^2}$ by the change of variable $u(z) = \varepsilon^{\frac{2}{p-2}}v(\varepsilon z)$, equation $(E_{\lambda, \mu})$ is transformed to

$$\begin{cases} -\Delta u + b(\varepsilon z)u = a(\varepsilon z)u^{p-1} + \varepsilon^{\frac{-2(q+2-p)}{p-2}}h(z)u^{q-1} & \text{in } \mathbb{R}^N; \\ \mathbf{u} \in H^1(\mathbb{R}^N). \end{cases} \quad (E_\varepsilon)$$

Associated with equation (E_ε) , we consider the C^1 -functional

$$J_\varepsilon(u) = \frac{1}{2} \|u\|_b^2 - \frac{1}{p} \int_{\mathbb{R}^N} a(\varepsilon z)u_+^p dz - \frac{1}{q} \int_{\mathbb{R}^N} \varepsilon^{\frac{-2(q+2-p)}{p-2}}h(\varepsilon z)u_+^q dz;$$

where $\|u\|_b^2 = \int_{\mathbb{R}^N} (|\nabla u|^2 + b(\varepsilon z)u^2) dz$ is an equivalent norm in $H^1(\mathbb{R}^N)$. Precisely choosing $d = \max\{1, b(\varepsilon z)\}$, we have $\|u\|_H \leq \|u\|_b \leq d \|u\|_H$.

We know that the nonnegative weak solutions of equation (E_ε) are corresponding to the critical points of J_ε .

This article is organized as follows. In section 2 we use the argument of Tarantello [5] to divide the Nehari manifold M_ε into the two parts M_ε^+ and M_ε^- . In section 3, we prove that the existence of a positive ground state solution $u_0 \in M_\varepsilon^+$ of equation (E_ε) .

Let

$$\begin{aligned} S &= \sup \{ \|u\|_{L^p} \\ &\quad \mathbf{u} \in H^1(\mathbb{R}^N) \\ &\quad \|u\|_H = 1 \end{aligned}$$

then

$$\|u\|_{L^p} \leq S \|u\|_H \text{ for any } u \in H^1(\mathbb{R}^N) \setminus \{0\}. \quad (1.1)$$

For the semilinear elliptic equations

$$\begin{cases} -\Delta u + u = a(\varepsilon z)u^{p-1} & \text{in } \mathbb{R}^N; \\ u \in H^1(\mathbb{R}^N), \end{cases}$$

we define the energy functional $I_\varepsilon(u) = \frac{1}{2} \|u\|_H^2 - \frac{1}{p} \int_{\mathbb{R}^N} a(\varepsilon z)u_+^p dz$, and

$$\gamma_\varepsilon = \inf_{u \in N_\varepsilon} I_\varepsilon(u);$$

where $N_\varepsilon = \{u \in H^1(\mathbb{R}^N) \setminus \{0\} | u_+ \not\equiv 0 \text{ and } \langle I'_\varepsilon(u), u \rangle = 0\}$.

If $a = a_{max}$, we define $I_{max}(u) = \frac{1}{2} \|u\|_H^2 - \frac{1}{p} \int_{\mathbb{R}^N} a(\varepsilon z)u_+^p dz$, and

$$\gamma_{max} = \inf_{u \in \Omega} I_{max}(u);$$

where $\Omega = \{u \in H^1(\mathbb{R}^N) \setminus \{0\} | u_+ \not\equiv 0 \text{ and } \langle I'_{max}(u), u \rangle = 0\}$.

Lemma 1.1

$$\gamma_{max} = \frac{p-2}{2p} (a_{max} S^p)^{\frac{-2}{(p-2)}} > 0.$$

proof: if $I_{max} = \frac{1}{2} \|u\|_H^2 - \frac{1}{p} \int_{\mathbb{R}^N} a_{max} u_+^p dz$, then

$$\gamma_{max} = \gamma_{max}(\Omega) = \left(\frac{1}{2} - \frac{1}{p}\right) \gamma(\Omega)^{\frac{2p}{2-p}},$$

where $\gamma(\Omega) = \sup \left\{ \int_{\mathbb{R}^N} a_{max} u^p \middle| u \in H^1(\mathbb{R}^N) \text{ and } \|u\|_H = 1 \right\} = a_{max}^{\frac{1}{p}}$.

Moreover

$$\gamma_{max} = \left(\frac{1}{2} - \frac{1}{p}\right) (a_{max}^{\frac{1}{p}} S)^{\frac{2p}{p-2}} > 0. \quad \square$$

2. THE NEHARI MANIFOLD

We define the Palais - Smale (denoted by (PS))– sequences, (PS) – value, and (PS) – conditions in $H^1(\mathbb{R}^N)$ for J as follows.

Definition

- (i) For $\beta \in \mathbb{R}$, a sequence $\{u_n\}$ is a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J if $J(u_n) = \beta + o(1)$ and $J'(u_n) = o(1)$ strongly in $H^{-1}(\mathbb{R}^N)$ as $n \rightarrow \infty$, where $H^{-1}(\mathbb{R}^N)$ is the dual space of $H^1(\mathbb{R}^N)$;
- (ii) $\beta \in \mathbb{R}$ is a (PS) – value in $H^1(\mathbb{R}^N)$ for J if there is a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J ;
- (iii) J satisfies the $(PS)_\beta$ -condition in $H^1(\mathbb{R}^N)$ if every $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for

J contains a convergent subsequence.

Next, since J_ε is not bounded form below in $H^1(\mathbb{R}^N)$, we consider the Nehari manifold

$$M_\varepsilon = \{u \in H^1(\mathbb{R}^N) \setminus 0 \mid u_+ \neq 0 \text{ and } \langle J'_\varepsilon(u), u \rangle = 0\}, \quad (2.1)$$

where

$$\langle J'_\varepsilon(u), u \rangle = \|u\|_H^2 - \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz - \varepsilon^{\frac{-2(q+2-p)}{p-2}} \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz.$$

Note that M_ε contains all nonnegative solutions of equation $(E_{\lambda,\mu})$.

Lemma 2.1 The energy functional J_ε is coercive and bounded from below on M_ε .

Proof. For $u \in M_\varepsilon$, by (3.1), the Holder inequality $(p_1 = \frac{p}{p-q}, p_2 = \frac{p}{q})$ and the Sobolev embedding theorem (1.1), we get

$$\begin{aligned} J_\varepsilon(u) &= \left(\frac{1}{2} - \frac{1}{p}\right) \|u\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right) \int_{\mathbb{R}^N} \varepsilon^{\frac{-2(q+2-p)}{p-2}} h(\varepsilon z) u_+^q dz \\ &\geq \left(\frac{1}{2} - \frac{1}{p}\right) \|u\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right) \varepsilon^{\frac{-2(q+2-p)}{p-2}} \|h\|_{\#} S^q \|u\|_H^q \\ &\geq \frac{\|u\|_H^q}{p} \left[\frac{p-2}{2} \|u\|_H^{2-q} - \left(\frac{p-q}{q}\right) \varepsilon^{\frac{-2(q+2-p)}{p-2}} \|h\|_{\#} S^q \right] \geq 0. \end{aligned}$$

Since $\frac{p-2}{2} > 0$ and $\left(\frac{p-q}{q}\right) \varepsilon^{\frac{-2(q+2-p)}{p-2}} \|h\|_{\#} S^q > 0$, we have that J_ε is coercive and bounded from below on M_ε .

Define

$$\psi_\varepsilon(u) = \langle J'_\varepsilon(u), u \rangle.$$

Then for $u \in M_\varepsilon$, we get

$$\begin{aligned} \langle \psi'_\varepsilon(u), u \rangle &= 2\|u\|_H^2 - p \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz - \Lambda q \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz \\ &= (2-p) \|u\|_H^2 + \Lambda(p-q) \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz \end{aligned} \quad (2.2)$$

$$= (2-q) \|u\|_H^2 + (q-p) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz. \quad (2.3)$$

Now we divide the Nehari manifold into three disjoint subsets

$$\begin{aligned}
M_\varepsilon^+ &= \{u \in M_\varepsilon \mid \langle \psi'_\varepsilon(u), u \rangle > 0\}; \\
M_\varepsilon^0 &= \{u \in M_\varepsilon \mid \langle \psi'_\varepsilon(u), u \rangle = 0\}; \\
M_\varepsilon^- &= \{u \in M_\varepsilon \mid \langle \psi'_\varepsilon(u), u \rangle < 0\}.
\end{aligned}$$

Lemma 2.2 Under some assumptions $(a_1), (a_2)$ and (h_1) , if $0 < \Lambda < \Lambda_0$, then $M_\varepsilon^0 = \emptyset$.

Proof. Assuming the contrary, there is $\lambda_0 \in \mathbb{R}$ and $0 < \lambda_0 < \Lambda_0$ such that $M_{\lambda_0}^0 = \emptyset$.

Then for $u \in M_{\lambda_0}^0$,

$$\|u\|_H^2 = \frac{p-q}{p-2} \lambda_0 \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz = \frac{p-q}{2-q} \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz.$$

By the Holder and the Soblev embedding theorem, we get

$$\|u\|_H \geq \left[\frac{(2-q)}{(p-q)a_{max}} S^{-p} \right]^{\frac{1}{(p-2)}},$$

and

$$\|u\|_H \leq \left(\frac{p-q}{p-2} \lambda_0 \|h\|_{\#} S^q \right)^{\frac{1}{2-q}}.$$

Thus

$$\lambda_0 \geq (p-2) \left(\frac{2-q}{a_{max}} \right)^{\frac{2-q}{p-2}} \left[(p-q) S^2 \right]^{\frac{q-p}{p-2}} \|h\|_{\#}^{-1} = \Lambda_0,$$

which is a contradiction. \square

Lemma 2.3 Suppose that u is a local minimizer for J_ε on M_ε and $u \in M_\varepsilon^0$. Then $J'_\varepsilon(u) = 0$ in $H^{-1}(\mathbb{R}^N)$.

Proof. See Brown and Zhang [2, Theorem 2.3]. \square

Lemma 2.4 For each $u \in M_\varepsilon^+$ we have

$$\int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz > 0 \text{ and } \|u\|_H < \left(\frac{p-q}{p-2} \Lambda \|h\|_{\#} S^q \right)^{\frac{1}{(2-q)}}.$$

Proof. For $u \in M_\varepsilon^+$ we get

$$(2-p) \|u\|_H^2 + (p-q) \Lambda \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz > 0$$

$$(p-q) \Lambda \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz > (2-p) \|u\|_H^2$$

$$\int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz > \frac{(2-p)}{\Lambda(p-q)} \|u\|_H^2 > 0.$$

For every $u \in M_\varepsilon^+ \subset M_\varepsilon$, by (2.2) and the Holder inequality $(p_1 = \frac{p}{p-q}, p_2 = \frac{p}{q})$, we have

$$\begin{aligned}
0 &< (p-q) \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) \|u_+^q dz - (p-2) \|u\|_H^2 \\
&\leq (p-q) \Lambda \|h\|_{\#} S^q \|u\|_H^q - (p-2) \|u\|_H^2 \\
\|u\|_H &\leq \left(\frac{p-q}{p-2} \Lambda \|h\|_{\#} S^q \right)^{\frac{1}{2-q}}. \quad \square
\end{aligned}$$

Lemma 2.5 For each $u \in M_\varepsilon^-$ we have $\|u\|_H > \left[\frac{2-q}{(p-q)a_{max}} S^p \right]^{\frac{1}{p-2}}$.

Proof. For every $u \in M_\varepsilon^-$, by (2.3), we have that

$$\begin{aligned} \|u\|_H^2 &< \frac{p-q}{2-q} \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz \\ &\leq \frac{p-q}{2-q} S^p \|u\|_H^p a_{max}. \\ \|u\|_H &\geq \left[\frac{(2-q)}{(p-q)a_{max}} S^{-p} \right]^{\frac{1}{(p-2)}}. \quad \square \end{aligned}$$

Lemma 2.6 If $0 < \Lambda < \frac{q\Lambda_0}{2}$ and $u \in M_\varepsilon^-$ then $J_\varepsilon(u) > 0$.

Proof. For $u \in M_\varepsilon^-$ we have

$$\begin{aligned} J_\varepsilon(u) &= \left(\frac{1}{2} - \frac{1}{p}\right) \|u\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right) \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u_+^q dz \\ &\geq \frac{\|u\|_H^q}{p} \left(\frac{p-2}{2} \|u\|_H^{2-q} - \frac{p-q}{q} \Lambda \|h\|_\# S^q\right) \\ &> \frac{1}{p} \left(\frac{2-p}{(p-q)a_{max} S^p}\right)^{\frac{q}{p-2}} \\ &\quad \times \left(\frac{p-2}{2} \left(\frac{2-q}{(p-q)a_{max} S^p}\right)^{\frac{2-q}{p-2}} - \frac{p-q}{q} \Lambda \|h\|_\# S^q\right). \end{aligned}$$

So $J_\varepsilon(u) \geq d_0 > 0$ for some $d_0 = d_0(\varepsilon, p, q, S, \|h\|_\#, a_{max})$. \square

For $u \in H^1(\mathbb{R}^N) \setminus \{0\}$ and $u_+ \not\equiv 0$, let

$$\bar{l} = \bar{l}(u) = \left[\frac{(2-q) \|u\|_H^2}{(p-q) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz} \right]^{\frac{1}{p-2}} > 0.$$

Lemma 2.7 For every $u \in H^1(\mathbb{R}^N) \setminus \{0\}$ and $u_+ \not\equiv 0$, we have that

if $\int_{\mathbb{R}^N} \Lambda h(z) u_+^q dz = 0$, then there is a unique positive number $l^- = l^-(u) > \bar{l}$ such that $l^- u \in M_\varepsilon^-$ and $J_\varepsilon(l^- u) = \sup_{l \geq 0} J_\varepsilon(lu)$.

Proof. For every $u \in H^1(\mathbb{R}^N) \setminus \{0\}$ and $u_+ \not\equiv 0$, define

$$k(l) = k_u(l) = l^{2-q} \|u\|_H^2 - l^{p-q} \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz \text{ for } l \geq 0.$$

Clearly, $k(0) = 0$ and $k(l) \rightarrow -\infty$ as $l \rightarrow \infty$. Since

$$k'(l) = \frac{1}{l^{q+1}} \left[(2-q) \|lu\|_H^2 - (p-q) \int_{\mathbb{R}^N} a(\varepsilon z) (lu_+)^p dz \right] \text{ for } l \geq 0$$

then $k'(\bar{l}) = 0$, $k'(l) > 0$ for $0 < l < \bar{l}$, and $k'(l) < 0$ for $l > \bar{l}$. Thus,

$k(l)$ gets its maximum at \bar{l} . Furthermore, by the Sobolev embedding theorem, we have

that

$$\begin{aligned}
k(\bar{l}) &= \left[\frac{(2-q) \|u\|_H^2}{(p-q) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz} \right]^{\frac{(2-q)}{(p-2)}} \|u\|_H^2 \\
&\quad - \left[\frac{(2-q) \|u_H^2\|}{(p-q) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz} \right]^{\frac{(p-q)}{(p-2)}} \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz \\
&\geq (p-2)(2-q)^{\frac{2-q}{p-2}} (p-q)^{\frac{q-p}{p-2}} S^{\frac{p(q-2)}{p-2}} \|u\|_H^q. \tag{2.4}
\end{aligned}$$

Since $\int_{\mathbb{R}^N} \Lambda h(z) u_+^q dz = 0$, there exists a unique positive number $l^- = l^-(u) > \bar{l}$ such

that $k(l^-) = \int_{\mathbb{R}^N} \Lambda h(z) u_+^q dz = 0$ and $k'(l^-) > 0$. Then

$$\left. \frac{d}{dl} J_\varepsilon(lu) \right|_{l=l^-} = \frac{1}{l} \left(\|lu\|_H^2 - \int_{\mathbb{R}^N} a(\varepsilon z) (lu_+)^p dz - \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (lu_+)^q dz \right) \Big|_{l=l^-} = 0$$

$$\left. \frac{d^2}{dl^2} J_\varepsilon(lu) \right|_{l=l^-} = \frac{1}{l^2} \left(\|lu\|_H^2 - (p-1) \int_{\mathbb{R}^N} a(\varepsilon z) (lu_+)^p dz \right.$$

$$\left. - (q-1) \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (lu_+)^q dz \right) \Big|_{l=l^-} < 0.$$

Furthermore $J_\varepsilon(lu) \rightarrow -\infty$ as $l \rightarrow \infty$, so it is not difficult to find that $l^-u \in M_\varepsilon^-$ and $J_\varepsilon(l^-u) = \sup_{l \geq 0} J_\varepsilon(lu)$. \square

Lemma 2.8 if $0 < \Lambda < \Lambda_0$ and $\int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u_+^q dz > 0$, then there is unique positive number $l^+ = l^+(u) < \bar{l} < l^- = l^-(u)$ such that $l^+u \in M_\varepsilon^-$, and

$$J_\varepsilon(l^+u) = \inf_{0 \leq l \leq \bar{l}} J_\varepsilon(lu), \quad J_\varepsilon(l^-u) = \sup_{l \geq \bar{l}} J_\varepsilon(lu).$$

Proof. Since $0 < \Lambda < \Lambda_0$ and $\int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u_+^q dz > 0$, by (2.4), then

$$\begin{aligned}
k(0) = 0 &< \Lambda \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz \leq \Lambda \|h\|_{\#} S^q \|u\|_H^q \\
&< (p-2)(2-q)^{\frac{2-q}{p-2}} (p-q)^{\frac{q-p}{p-2}} S^{\frac{p(q-2)}{p-2}} \|u\|_H^q \leq k(\bar{l}).
\end{aligned}$$

It follows that there are unique positive number $l^+ = l^+(u)$ and $l^- = l^-(u)$ such that $l^+ < \bar{l} < l^-$, $k(l^+) = \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u_+^q dz = k(l^-)$ and $k'(l^-) < 0 < k'(l^+)$. We also have that $l^+u \in M_\varepsilon^+$, $l^-u \in M_\varepsilon^-$, $J_\varepsilon(l^+u) \leq J_\varepsilon(lu) \leq J_\varepsilon(l^-u)$ for every $l \in [l^+, l^-]$, and $J_\varepsilon(l^+u) \leq J_\varepsilon(lu)$ for every $l \in [0, \bar{l}]$. Hence, $J_\varepsilon(l^+u) = \inf_{0 \leq l \leq \bar{l}} J_\varepsilon(lu)$, $J_\varepsilon(l^-u) = \sup_{l \geq \bar{l}} J_\varepsilon(lu)$.

\square

Applying lemma 2.2, we have

$$M_\varepsilon = M_\varepsilon^+ \cup M_\varepsilon^-,$$

where

$$M_\varepsilon^+ = \left\{ u \in M_\varepsilon \left| (2-q) \|u\|_H^2 - (p-q) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz > 0 \right. \right\},$$

$$M_\varepsilon^- = \left\{ u \in M_\varepsilon \left| (2-q) \|u\|_H^2 - (p-q) \int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz < 0 \right. \right\}.$$

Define

$$\alpha_\varepsilon = \inf_{u \in M_\varepsilon} J_\varepsilon(u); \quad \alpha_\varepsilon^+ = \inf_{u \in M_\varepsilon^+} J_\varepsilon(u); \quad \alpha_\varepsilon^- = \inf_{u \in M_\varepsilon^-} J_\varepsilon(u).$$

Lemma 2.9 If $0 < \Lambda < \Lambda_0$, then $\alpha_\varepsilon \leq \alpha_\varepsilon^+ < 0$.

Proof. Suppose $u \in M_\varepsilon^+$, by (2.2) we get that

$$(p-2) \|u\|_H^2 < (p-q)\Lambda \int_{\mathbb{R}^N} h(z) u_+^q dz.$$

Then

$$\begin{aligned} J_\varepsilon(u) &= \left(\frac{1}{2} - \frac{1}{p}\right) \|u\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right)\Lambda \int_{\mathbb{R}^N} h(\varepsilon z) u_+^q dz \\ &< \left[\left(\frac{1}{2} - \frac{1}{p}\right) - \left(\frac{1}{q} - \frac{1}{p}\right) \frac{p-2}{p-q} \right] \|u\|_H^2 \\ &= -\frac{(2-q)(p-2)}{2pq} \|u\|_H^2 < 0. \end{aligned}$$

By the definition α_ε and α_ε^+ , we conclude that $\alpha_\varepsilon \leq \alpha_\varepsilon^+ < 0$. \square

Lemma 2.10 If $0 < \Lambda < \frac{q\Lambda_0}{2}$, then $\alpha_\varepsilon^- \geq d_0 > 0$ for some $d_0 = d_0(\varepsilon, p, q, S, \|h\|_\#)$.

Proof. See [3, Lemma 2.5]. \square

Corollary 2.11

- (a) There exists a $(PS)_{\alpha_\varepsilon}$ -sequence $\{u_n\}$ in M_ε for J_ε ;
- (b) There exists a $(PS)_{\alpha_\varepsilon^+}$ -sequence $\{u_n\}$ in M_ε^+ for J_ε ;
- (c) There exists a $(PS)_{\alpha_\varepsilon^-}$ -sequence $\{u_n\}$ in M_ε^- for J_ε .

3. EXISTENCE OF A GROUND STATE SOLUTION

At first, we show that J_ε satisfies the $(PS)_\beta$ -condition in $H^1(\mathbb{R}^N)$ for $\beta \in (-\infty, \gamma_{max} - C_0\Lambda^{\frac{2}{2-q}})$, where

$$C_0 = (2-q) [(p-q) \|h\|_\# S^q]^{\frac{2}{2-q}} / \left[2pq(p-2)^{\frac{q}{2-q}} \right].$$

Lemma 3.1 Under some assumptions a_1, a_2, h_1 and $0 < \Lambda < \Lambda_0$. If $\{u_n\}$ is a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J_ε with $u_n \rightharpoonup u$ in $H^1(\mathbb{R}^N)$, then $J'_\varepsilon(u) = 0$ in $H^{-1}(\mathbb{R}^N)$.

Proof. Suppose $\{u_n\}$ be a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J_ε such that $J_\varepsilon(u_n) = \beta + o_n(1)$ and $J'_\varepsilon(u_n) = o_n(1)$ in $H^{-1}(\mathbb{R}^N)$. Then

$$\begin{aligned} |\beta| + o_n(1) + \frac{d_n \|u_n\|_H}{p} &\geq J_\varepsilon(u_n) - \frac{1}{p} \langle J'_\varepsilon(u_n), u_n \rangle \\ &= \left(\frac{1}{2} - \frac{1}{p}\right) \|u_n\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right) \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (u_n)_+^q dz \\ &\geq \frac{p-2}{2p} \|u_n\|_H^2 - \frac{p-q}{pq} \Lambda \|h\|_{\#} S^q \|u_n\|_H^q \\ &\geq \frac{p-2}{2p} \|u_n\|_H^2. \end{aligned}$$

Then

$$\|u_n\| \geq 2p(|\beta| + o_n(1)) / (2d_n - (p-2)),$$

where $d_n = o_n(1)$ as $n \rightarrow \infty$. It follows that $\{u_n\}$ is bounded in $H^1(\mathbb{R}^N)$, so there exist a subsequence $\{u_n\}$ and $u \in H^1(\mathbb{R}^N)$ such that $J'_\varepsilon(u) = 0$ in $H^{-1}(\mathbb{R}^N)$. \square

Lemma 3.2 For $0 < \Lambda < \Lambda_0$, if $\{u_n\}$ is a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J_ε with $u_n \rightharpoonup u$ weakly in $H^1(\mathbb{R}^N)$, we have $J_\varepsilon(u) \geq -C_0 \Lambda^{\frac{2}{2-q}} \geq -C'_0$, where

$$C'_0 = (p-2)(2-q)^{\frac{p}{p-2}} / (2pq(a_{\max}(p-q))^{\frac{2}{p-2}} S^{\frac{2p}{p-2}}).$$

Proof. We have $\langle J'_\varepsilon(u), u \rangle = 0$, that is, $\int_{\mathbb{R}^N} a(\varepsilon z) u_+^p dz = \|u\|_H^2 - \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u_+^q dz$. Hence,

by the Young inequality ($p_1 = \frac{2}{q}$ and $p_2 = \frac{2}{2-q}$)

$$\begin{aligned} J_\varepsilon(u) &= \left(\frac{1}{2} - \frac{1}{p}\right) \|u\|_H^2 - \left(\frac{1}{q} - \frac{1}{p}\right) \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) u^q dz \\ &\geq \frac{p-2}{2p} \|u\|_H^2 - \frac{p-q}{pq} \Lambda \|h\|_{\#} S^q \|u\|_H^q \\ &\geq \frac{p-2}{2p} \|u\|_H^2 \\ &\quad - \frac{p-2}{pq} \left[\frac{q \|u\|_H^2}{2} + \left(\frac{p-q}{p-2} \Lambda \|h\|_{\#} S^q\right)^{\frac{2}{2-q}} \frac{2-q}{2} \right] \\ &= -\Lambda a^{\frac{2}{2-q}} (2-q) [(p-q) \|h\|_{\#} S^q]^{\frac{2}{2-q}} / \left[2pq(p-2)^{\frac{q}{2-q}} \right] \\ &\geq -\frac{(p-2)(2-q)^{\frac{p}{p-2}}}{2pq [a_{\max}(p-q)]^{\frac{2}{p-2}} S^{\frac{2p}{p-2}}} = -C'_0. \quad \square \end{aligned}$$

Lemma 3.3 For $0 < \Lambda < \Lambda_0$ the functional J_ε satisfies the $(PS)_\beta$ -condition in $H^1(\mathbb{R}^N)$ for $\beta \in (-\infty, \gamma_{\max} - C_0 \Lambda^{\frac{2}{2-q}})$.

Proof. Suppose $\{u_n\}$ be a $(PS)_\beta$ -sequence in $H^1(\mathbb{R}^N)$ for J_ε such that $J_\varepsilon(u_n) = \beta + o_n(1)$ and $J'_\varepsilon(u_n) = o_n(1)$ in $H^{-1}(\mathbb{R}^N)$. Then it follows that $\{u_n\}$ is bounded in $H^1(\mathbb{R}^N)$ and so there exist a subsequence $\{u_n\}$ and $u \in H^1(\mathbb{R}^N)$ such that $J'_\varepsilon(u) = 0$ in $H^{-1}(\mathbb{R}^N)$, $u_n \rightharpoonup u$ in $H^1(\mathbb{R}^N)$, $u_n \rightarrow u$ a.e. in \mathbb{R}^N , $u_n \rightarrow u$ in $L^s_{loc}(\mathbb{R}^N)$ for every $1 \leq s < 2^*$.

Next, we claim that

$$\int_{\mathbb{R}^N} h(\varepsilon z) |u_n - u|^q dz \rightarrow 0 \text{ as } n \rightarrow \infty. \quad (3.1)$$

Using the Brezis-Lieb lemma to get

$$\int_{\mathbb{R}^N} h(\varepsilon z) (u_n - u)_+^q dz = \int_{\mathbb{R}^N} h(\varepsilon z) (u_n)_+^q dz - \int_{\mathbb{R}^N} h(\varepsilon z) u^q dz + o_n(1).$$

For every $\sigma > 0$, there is $r > 0$ such that $\int_{[B^N(0;r)]^c} h(\varepsilon z)^{\frac{p}{p-q}} dz < \sigma$. By the Holder inequality and the Sobolev embedding theorem, we get

$$\begin{aligned} \left| \int_{\mathbb{R}^N} h(\varepsilon z) |u_n - u|^q dz \right| &\leq \int_{B^N(0;r)} h(\varepsilon z) |u_n - u|^q dz \\ &\quad + \int_{[B^N(0;r)]^c} h(\varepsilon z) |u_n - u|^q dz \\ &\leq \|h\|_{\#} \left(\int_{\mathbb{R}^N} |u_n - u|^p dz \right)^{\frac{q}{p}} \\ &\quad + S^q \left(\int_{\mathbb{R}^N} h(\varepsilon z)^{\frac{p}{p-q}} dz \right)^{\frac{p-q}{p}} \|u_n - u\|_H^q \\ &\leq o_n(1) + \sigma C'. \text{ Using this fact, we get} \end{aligned}$$

$$\int_{\mathbb{R}^N} a(\varepsilon z) (u_n - u)_+^p dz = \int_{\mathbb{R}^N} a_{max} (u_n - u)_+^p dz + o_n(1). \quad (3.2)$$

Let $p_n = u_n - u$. Suppose $p_n \rightarrow 0$ strongly in $H^1(\mathbb{R}^N)$. By (3.1) and (3.2) we conclude that

$$\begin{aligned} \|p_n\|_H^2 &= \|u_n\|_H^2 - \|u\|_H^2 + o_n(1) \\ &= \int_{\mathbb{R}^N} a(\varepsilon z) (u_n)_+^p dz - \int_{\mathbb{R}^N} \lambda h(\varepsilon z) (u_n)_+^q dz \\ &\quad - \int_{\mathbb{R}^N} a(\varepsilon z) u^p dz + \int_{\mathbb{R}^N} \lambda h(\varepsilon z) u^q dz + o_n(1) \\ &= \int_{\mathbb{R}^N} a(\varepsilon z) (u_n - u)_+^p dz + o_n(1) = \int_{\mathbb{R}^N} a_{max} (p_n)_+^p dz + o_n(1). \end{aligned}$$

Then

$$\begin{aligned} I_{max}(p_n) &= \frac{1}{2} \|p_n\|_H^2 - \frac{1}{p} \int_{\mathbb{R}^N} a_{max} (p_n)_+^p dz \\ &= \left(\frac{1}{2} - \frac{1}{p} \right) \|p_n\|_H^2 + o_n(1) > 0. \end{aligned}$$

By Theorem 4.3 in Wang [6], there exists a sequence $\{s_n\} \subset \mathbb{R}^+$ such that $s_n = 1 + o_n(1)$, $\{s_n p_n\} \subset \Omega$ and $I_{max}(s_n p_n) = I_{max}(p_n) + o_n(1)$. It follows that

$$\begin{aligned} \gamma_{max} &\leq I_{max}(s_n p_n) = I_{max}(p_n) + o_n(1) \\ &= J_\varepsilon(u_n) - J_\varepsilon(u) + o_n(1) \end{aligned}$$

$$\begin{aligned}
&= \beta - J_\varepsilon(u) + o_n(1) \\
&= J_\varepsilon(u_n) - J_\varepsilon(u) \\
&= J_\varepsilon(p_n) \rightarrow o_n(1) < \gamma_{max},
\end{aligned}$$

which is a contradiction. Hence, $u_n \rightarrow u$ strongly in $H^1(\mathbb{R}^N)$. \square

Theorem 3.4 Let $\Lambda = \varepsilon^{\frac{-2(q+2-p)}{p-2}}$. Then for

$$0 < \Lambda < \Lambda_0 = (p-2) \left(\frac{2-q}{a_{max}} \right)^{\frac{2-q}{p-2}} [(p-q)S^2]^{\frac{q-p}{p-2}} \|h\|_{\#}^{-1},$$

where $\|h\|_{\#}$ is the norm in $L^{\frac{p}{p-q}}(\mathbb{R}^N)$, The problem (E_ε) admits at least one positive ground state solution u_0 of the problem (E_ε) in \mathbb{R}^N . Moreover, $u_0 \in M_\varepsilon^+$ and

$$J_\varepsilon(u_0) = \alpha_\varepsilon = \alpha_\varepsilon^+ \geq -C_0 \Lambda^{\frac{2}{2-q}}.$$

Proof. Consider minimizing sequence $\{u_n\} \subset M_\varepsilon$ for J_ε such that $J_\varepsilon(u_n) = \alpha_\varepsilon + o_n(1)$ and $J'_\varepsilon(u_n) = o_n(1)$ in $H^{-1}(\mathbb{R}^N)$.

By Lemma 3.2 (i), there is a subsequence $\{u_n\}$ and $u_0 \in H^1(\mathbb{R}^N)$. We claim that $u_0 \in M_\varepsilon^+$ ($M_\varepsilon^0 = \emptyset$ for $0 < \Lambda < \Lambda_0$) and $J_\varepsilon(u_0) = \alpha_\varepsilon$. On the contrary, if $u_0 \in M_\varepsilon^-$ we get that

$$\int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (u_0)_+^q dz > 0.$$

Otherwise,

$$\begin{aligned}
\|u_n\|_H^2 - \int_{\mathbb{R}^N} a(\varepsilon z) (u_n)_+^p dz &= \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (u_n)_+^q dz \\
&= \int_{\mathbb{R}^N} \Lambda h(\varepsilon z) (u_0)_+^q dz + o_n(1) \\
&= o_n(1).
\end{aligned}$$

It follows that $\lim_{n \rightarrow \infty} \left(\frac{1}{2} - \frac{1}{p} \right) \|u_n\|_H^2 = \alpha_\varepsilon$, which contradicts to $\alpha_\varepsilon < 0$. By Lemma 2.11

(b), then there are positive numbers $l^+ < \bar{l} < l^- = 1$ such that $l^+ u_0 \in M_\varepsilon^+$, $l^- u_0 \in M_\varepsilon^-$ which is a contradiction. Hence, $u_0 \in M_\varepsilon^+$

$$-C_0 \Lambda^{\frac{2}{2-q}} \leq J_\varepsilon(u_0) = \alpha_\varepsilon = \alpha_\varepsilon^+.$$

By Lemma 2.4 and the maximum principle, then u_0 is a positive solution of the problem $(E_{\lambda,\mu})$. \square

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